



PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/APTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/018127
				Filing Date	May 13, 2002
				First Named Inventor	Robert L. Clancy
				Art Unit	1641
				Examiner Name	Cook, Lisa V.
Sheet	1	of	2	Attorney Docket Number	BSWV-P01-002

RECEIVED

SEP 04 2003

TECH CENTER 1600/2900

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
LVC	AA	5,556,759	09-17-1996	Beach	
LVC	AB	5,747,266	05-05-1998	Beach	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ² -Number ⁴ -Kind Code ⁵ (if known)				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under ⁶ WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
LVC	AC	Baxendine, J.A. and Moore, I.E. Pulmonary Eosinophilia in Sudden Infant Death Syndrome. J. Pathol. 177, 415-421 (1995).	
	AD	Brooks, J.G. Apparent Life-Threatening Events and Apnea of Infancy. Clinics in Perinatology 19(4), 809-838 (Dec. 1992).	
	AE	Forsyth, K.D. et al. Lung immunoglobulins in the sudden infant death syndrome. BMJ 298, 23-26 (7 Jan. 1989).	
	AF	Gleeson, M. et al. The Variability of Immunoglobulins and Albumin in Salivary Secretions of Children. Scand. J. Immunol. 33, 533-541 (1991).	
	AG	Gleeson, M. et al. Mucosal Immune Response in a Case of Sudden Infant Death Syndrome. Ped. Res. 33(6), 554-556 (1993).	
	AH	Shannon, D.C. Prospective Identification of the Risk of SIDS. Clinics in Perinatology 19(4), 861-869 (Dec. 1992).	
	AI	Strober, W. and James, S.P. "The Mucosal Immune System" in Basic and Clinical Immunology, 7th ed. D.P. Stites and A.I. Terr, eds. Appleton & Lange, Pub (1991), Chapter 15, pp. 175-186.	
LVC	AJ	Stolenberg, L. et al. Changes in the concentration and distribution of immunoglobulin-producing cells in SIDS palatin tonsils. Pediatr. Allergy Immunol. 6, 48-55 (1995).	
Examiner Signature	Date Considered		8/5/05



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/018127
				Filing Date	May 13, 2002
				First Named Inventor	Robert L. Clancy
				Art Unit	1641
				Examiner Name	Cook, Lisa V.
Sheet	2	of	2	Attorney Docket Number	BSWV-P01-002

RECEIVED

SEP 14 2003

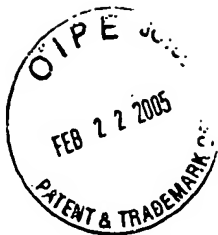
TECH CENTER 1600/2900

L/C	AK	Stoltenburg, L. et al. Sudden Infant Death Syndrome Victims Show Local Immunoglobulin M Response in Tracheal Wall and Immunoglobulin A Response in Duodenal Mucosa. Ped. Res. 31, 372-375 (1992).	
	AL	Thrane, P.S. et al. Increased immune response in upper respiratory and digestive tracts in SIDS. The Lancet 335, 229-230 (27 Jan. 1990).	
L/C	AM	Urquhart, G.E.D. et al. Sudden unexplained death in infancy and hyperimmunization. J. Clin. Path. 24, 736-739 (1971).	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Lisa V. Cook	Date Considered	8/5/05
--------------------	--------------	-----------------	--------



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known	
				Application Number	10/018127
				Filing Date	May 13, 2002
				First Named Inventor	Robert L. Clancy
				Art Unit	1646 1641
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	BSWV-P01-002

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
L/C	BA	EP 0 139 201 A	05-02-1985			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
L/C	CA	Siarakas, Steven, et al., "Immunological Evidence for a Bacterial Toxin Aetiology in Sudden Infant Death Syndrome", FEMS Immunology and Medical Microbiology, Vol. 25, No. 1-2, pages 38-50 (1999).	1	
L/C	CB	Verge, Ashild, et al., "IL-6 Cerebrospinal Fluid Levels Are Related to Laryngeal IgA and Epithelial HLA-DR Response in Sudden Infant Death Syndrome", Pediatric Research, Vol. 45, No. 6, pages 803-809, (1999).	1	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>Olisa Cook</i>	Date Considered	8/5/05
-----------------------	-------------------	--------------------	--------

9655001_1